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| APPLICATION NO.  | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO.        |  |
|--|---------------|----------------------|----------------------|-------------------------|--|
| 09/484,548   | 01/18/2000    | Jules Gauthier       | FMT1P025             | 9815                    |  |
| 75   | 90 10/08/2002 |                      |                      |                         |  |
| ROSS M. CAROTHERS<br>MANAGER, IP AND LEGAL AFFAIRS, AFX, INC.<br>47929 FREMONT BOULEVARD |               |                      | EXAM                 | EXAMINER                |  |
|  |               |                      | ISABELLA, DAVID J    |                         |  |
| FREMONT, CA  | 94538         |                      | ART UNIT PAPER NUMBE |                         |  |
|  |               |                      | 3738                 |                         |  |
|  |               |                      |                      | DATE MAILED: 10/08/2002 |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | <u>~</u>                           | $\mathcal{S}$  |  |  |  |  |
|---|------------------------------------|--|--|--|--|--|
|   | Application No.                    | Applicant(s)   |  |  |  |  |
| Office Action Commons   | 09/484,548                         | GAUTHIER ET AL.                                      |  |  |  |  |
| Office Action Summary   | Examiner                           | Art Unit   |  |  |  |  |
|   | DAVID J ISABELLA                   | 3738   |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply  | ears on the cover sheet with the c | orrespondence address                                |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status |                                    |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 11 S   | September 2002 .                   |  |  |  |  |  |
| 2a)  This action is <b>FINAL</b> . 2b)  This  | is action is non-final.            |  |  |  |  |  |
| 3) Since this application is in condition for allowa  |                                    |  |  |  |  |  |
| closed in accordance with the practice under a Disposition of Claims  | Ex parte Quayle, 1935 C.D. 11, 4   | .53 O.G. 213.  |  |  |  |  |
| 4)⊠ Claim(s) <u>81-111</u> is/are pending in the application.   |                                    |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |                                    |  |  |  |  |  |
| 5) Claim(s) is/are allowed.   |                                    |  |  |  |  |  |
| 6)⊠ Claim(s) <u>81-111</u> is/are rejected.   |                                    |  |  |  |  |  |
| 7) Claim(s) is/are objected to.   |                                    |  |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.   |                                    |  |  |  |  |  |
| Application Papers  |                                    |  |  |  |  |  |
| 9) The specification is objected to by the Examiner.  |                                    |  |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  |                                    |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.   |                                    |  |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |                                    |  |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |                                    |  |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120   |                                    |  |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |                                    |  |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:  |                                    |  |  |  |  |  |
| 1.☐ Certified copies of the priority documents have been received.  |                                    |  |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |                                    |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).   |                                    |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |                                    |  |  |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |                                    |  |  |  |  |  |
| a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |                                    |  |  |  |  |  |
| Attachment(s)   | •                                  |  |  |  |  |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2.</li> </ol>   | 5) Notice of Informal              | / (PTO-413) Paper No(s) Patent Application (PTO-152) |  |  |  |  |
| S. Patent and Trademark Office  |                                    |  |  |  |  |  |

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## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims are rejected under 35 U.S.C. 102(b,e) as being anticipated by McGee, et al.

McGee, et al (102b) discloses an energy delivery device comprising a flexible ablation assembly including an ablation device and means for directionally controlling the emitted energy.

Claim 82, the energy is sufficient to ablate biological tissue (see column 2, lines 52+).

Claim 83, see outer ablation surface 40.

Claim 84, see column 2, lines 48+.

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Claims 85-89, see figure 6.

Claims 102-110 see figures 7 and 14.

Cox et al discloses (102e) discloses an energy delivery device comprising a flexible ablation assembly including an ablation device and means for directionally controlling the emitted energy.

Claim 82, the energy is sufficient to ablate biological tissue (see column 2)

Claim 83, see outer ablation surface 300.

Claim 84, see column 3.

Claims 85-92, see figures 37-42.

Claims 93-101, see columns 3 and 4.

Claims 102-110 see figures 37-42.

Claim 111, see rejection to claim 81 supra.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DAVID J ISABELLA whose telephone number is 703-308-3060. The examiner can normally be reached on MONDAY-FRIDAY.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, CORRINE MCDERMOTT can be reached on 703-308-2111. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-3579 for regular communications and 703-305-3580 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

DAVIDJ ISABELLA Primary Examiner Art Unit 3738

dji October 7, 2002